Image 2834

TRANSMITTAL FORM
(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application No.

10/038,164

Filing Date

January 2, 2002

First Named Inventor

Casey R. Winkel

Art Unit

2834

Examiner Name
Scheuermann, David W.

Attorney Docket Number

42390P13383

ENCLOSURES (check all that apply)					
Fee Transmittal	Form	Drawing(s)	After Allowance Communication to Group		
Fee Attach	ned	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	sponse	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Fina Affidavits/	l declaration(s)	Petition to Convert a Provisional Application	Proprietary Information		
Extension of Tim	e Request	Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Express Abandon	nment Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):		
Information Discl	losure Statement	Request for Refund	Return receipt postcard Copies of cited art (5)		
PTO/SB/0		CD, Number of CD(s)	Copy of Search Report (1)		
Certified Copy of Document(s)	f Priority				
Response to Mis Incomplete Applie	sing Parts/ cation	Parada			
Basic	Filing Fee	Remarks IDS			
Decla	aration/POA	103			
Response Parts undo 1.52 or 1.5	e to Missing er 37 CFR 33				
	SIGNATUR	E OF APPLICANT, ATTORNEY, OR AG	GENT		
Firm	Steven Laut, R	leg. No. 47,736			
<i>or</i> Individual name	BLAKELY, S	SOKOLOFF, TAYLOR & ZAFN	MAN LLP		
Signature					
Date November 6, 2003					
CERTIFICATE OF MAILING/TRANSMISSION					
I hereby certify that this correspondenœ is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.					
Typed or printed na	ame Jean Syobo	da			
Signature	1/2	Jhdi-	Date November 6, 2003		

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known				
Application Number	10/038,164			
Filing Date	January 2, 2002			
First Named Inventor	Casey R. Winkel			
Examiner Name	Scheuermann, David W.			
Group/Art Unit	2834			
Attorney Docket No.	42390P13383			

11/06/03

Date

METHOD OF PAYMENT (check all that apply)	i			FE	E CALCULATION (continued)	
	3. A	DDITIO	NAL	FEES	3	
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	Large	Entity	• Sma	ill Entity	v	
Deposit Account	Fee	Fee	Fee	Fee	,	
Deposit Account 02 2666	Code	(\$)	Code	(\$)	Fee Description	FeePaid
Account Number 02-2666	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920		
					Examiner action	
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	<u> </u>
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	<u> </u>
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Fee Fee Description FeePaid	1255	1,210	2255	605	Extension for reply within fifth month	
Code (\$) Code (\$)	1404	330	2401	165	Notice of Appeal	
1001 770 2001 395 Utility filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1002 340 2002 170 Design filing fee	1403	290	2403	145	Request for oral hearing	
1003 530 2003 265 Plant filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1004 770 2004 385 Reissue filing fee	1452	110	2452	55	Petition to revive - unavoidable	<u> </u>
1006 160 2005 80 Provisional filing fee	1452	1,330	2452	665	Petition to revive - unintentional	
SUBTOTAL (1) (\$)	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee	
2. EXTRA CLAIM FEES Extra Fee from Claims below FeePaid	1503	640	2503	320	Plant issue fee	
Total Claims 30 = X	1460	130	2460	130	Petitions to the Commissioner	
Independent 5 X	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per	
Fee Fee Fee Fee Description					property (times number of properties)	
Code (\$) Code (\$)	1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20	4040	-70		205		
1201 66 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290 2203 145 Multiple Dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination	
1205 18 2205 9 **Reissue claims in excess of 20 and over	Other fe	e (specify)	i		of a design application	
original patent		., .		_		
SUBTOTAL (2) (\$)	. Pad and	· · · · · · · · · · · · · · · ·	C	D=14		
*for number previously paid, if greater, For Reissues, see below	, Keancea	d by Basic F	iting Fee	Paid	SUBTOTAL (3) (\$)	
SUBMITTED BY (Complete (if applic	rable)
	Re	egistratio	on No.	\top		
Name (Print/Type) Steven Latut /		torney/Age		- 4	47,736 Telephone (310) 20	07-3800

Signature



Docket No.: 42390P13383

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CASEY R. WINKEL, ET AL.

Application No.: 10/038,164

Filed: January 2, 2002

For: METHOD AND APPARATUS FOR FAN

REDUNDANCY

Art Group: 2834

Examiner: Scheuermann, David W.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

-1-

The references were cited in a Search Report dated August 20, 2003 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully su	bmitted.
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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLI

Steven Laut, Reg. No. 47,736

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Date: November 06, 2003

Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

11-06-03

Jean Syoboda

Date

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

	Complete if Known			
Applica	ation Number	10/038,164		
Filing C		January 2, 2002		
First N	amed Inventor	Casey R. Winkel		
Art Un	it	2834		
Exami	ner Name	Scheuermann, David W.		
Attorne	ey Docket Number	42390P13383		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.¹	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-4,434,389	02-28-1994	Langley et al.		
		US-4,618,806	10-21-1986	Grouse		
		US-5,572,403	11-05-1996	Mills		
		US-5,258,676	11-02-1993	Reinhardt et al.		
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	FOREIGN PATENT DOCUMENTS							
Examiner	xaminer Cite	Foreign Patent Document	D. Minatina Data		Pages, Columns, Lines,	76		
Initials* No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		WO 94/14226	06-23-1994	Honeywell Inc.				
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Examiner	Date	
Signature	Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

NOW 1 2 2003 SUBST

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 **of** 2

Complete if Known		
Application Number	10/038,164	
Filing Date	January 2, 2002	
First Named Inventor	Casey R. Winkel	
Art Unit	2834	
Examiner Name	Scheuermann, David W.	
Attorney Docket Number	42390P13383	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		PCT International Search Report dated 8/20/2003 related to International Application No. PCT/US 02/41553 (9 pgs).		
	•			

Examiner	 Date		
Signature	Considered	1	

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.